

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Xu *et al.*

Attorney Docket No.: EX03-037C-US

Intl. Appl. No.: PCT/US03/36567

US Appl No.: Not yet assigned

Intl. Filing Date: November 4, 2003

Us National Filing Date: Herewith

For: KINASE MODULTORS**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with 37 CFR §1.56, this Information Disclosure Statement is being submitted for the above-referenced application.

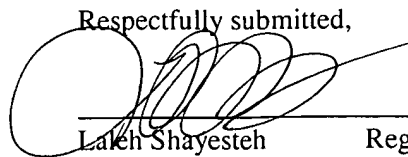
In accordance with §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined by 37 CFR §1.56 exists.

In accordance with §1.97(h), the disclosure contained herein is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" or "analogous art" for this invention.

No fees are believed to be due at this time. If this belief is in error, however, the Commissioner is authorized to charge any fees required for the consideration of this paper and any other papers filed herewith to deposit account no. 50-1108.

Respectfully submitted,

Dated: May 2, 2005



Laleh Shayesteh

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In re Patent Application of Xu, *et al.*
International Application No.: US03/36567
Application No.: Herewith
Filed: Herewith
For: KINASE MODULATORS

Confirmation No.: Not yet assigned
Art Unit: Not yet assigned
Examiner: Not yet assigned
Attorney Docket No.: EX03-037C-US

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
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The Chemical Abstracts Registry (CAS[®]) database was queried to identify structures that are identical or related to the structures recited in the claims of the above-referenced patent application. The following patent publications were identified:

EP00932609, Stack, 2003-05-14
EP00988035, Lee et al, 2003-05-02
EP01149106, Luk et al, 2003-03-19
EP01157019, Chen et al, 2003-03-19
EP01180105, Harris et al, 2003-05-14
EP0816338, Sato et al, 2003-02-12
US6417214, Ullrich et al, 2002-07-09
US6469032, Tang et al, 2002-10-22
US6498176, Lackey et al, 2002-12-24
US6506763, Tang et al, 2003-01-14
US6521657, Fensome et al, 2003-02-18
US6525072, Tang et al, 2003-02-25
US6541503, Davis et al, 2003-04-01
US6541504, Andrews et al, 2003-04-01
US6545035, Heckel et al, 2003-04-08
US6686362, Griffin et al, 2004-02-03
US20020102608, Tang et al, 2002-08-01
US20020183364, Tang, 2002-12-05
US20020183370, Tang et al, 2002-12-05
US2002177600, Griffin et al, 2002-11-28
US2002177601, Himmelsbach et al, 2002-11-28
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US2003045565, Moon et al, 2003-03-06

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Docket No: EX03-037C-US

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US23092756, Roth et al, 2003-05-15
US23092917, Guan et al, 2003-05-15
US6451838, Moon et al, 2002-09-17
US6498176, Lackey et al, 2002-12-24
US6514981, Tang et al, 2003-02-04
US6521657, Fensome et al, 2003-02-18
WO02/055517, Zhang et al, 2002-07-18
WO02/066463, Liang et al, 2002-08-29
WO02/068411, Riou et al, 2002-09-06
WO02081445, Hilberg et al, 2002-10-17
WO02081466, Sun et al, 2002-10-17
WO02094809, Samizu et al, 2002-11-28
WO02096361, Ramphal et al, 2002-12-05
WO03022815, Guan et al, 2003-03-20
WO04016619, Tahiri et al, 2004-02-26
WO9742187, Thomas et al, 1997-11-13
WO9403427, Dobrusin et al, 1994-02-17

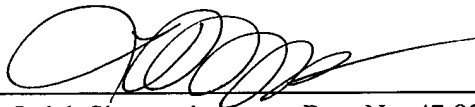
Palmer et al. Tyrosine kinase inhibitors. 4. Structure-activity relationships among N- and 3-substituted 2,2'-dithiobis(1H-indoles) for in vitro inhibition of receptor and nonreceptor protein tyrosine kinases. J Med Chem. (1995), 38(1):58-67

Rewcastle et al. Tyrosine kinase inhibitors. 3. Structure-activity relationships for inhibition of protein tyrosine kinases by nuclear-substituted derivatives of 2,2'-dithiobis(1-methyl-N-phenyl-1H-indole-3-carboxamide). J Med Chem. (1994), 37(13):2033-42

The above-references have not been reviewed to assess whether they are material to the patentability of the claimed invention; nor have they been reviewed to assess whether they are substantively cumulative to the disclosures of the references cited in and provided with the "Information Disclosure Statement by Applicant" filed simultaneously herewith.

Upon request, Applicants will gladly obtain copies of any of these references and forward them to the Examiner for consideration.

Respectfully submitted,



Dated: May 2, 2005

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number

May 2, 2005

Xu

EX03-037C-US

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-6114371	09-05-2000	Tang et al	
	2	US- 6268391	07-31-2001	Dickerson et al	
	3	US- 6313158	11-06-2001	Tang et al	
	4	US- 6316429	11-13-2001	Tang et al	
	5	US- 6316635	11-13-2001	Tang et al	
	6	US- 6469032	10-22-2002	Tang et al	
	7	US- 6482848	11-19-2002	Moon et al	
	8	US- 6486185	11-26-2002	McMahon et al	
	9	US- 6569868	05-27-2003	Tang et al	
	10	US- 20020156083	10-24-2002	Tang et al	
	11	US- 20020156292	10-24-2002	Tang et al	
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	12	WO9850356	11-12-1998	Tang et al		
	13	WO9961422	12-02-1999	Tang et al		
	14	WO0004901	03-02-2000	Huang et al		
	15	WO00194312	12-13-2001	Tang et al		
	16	WO0056709	09-28-2000	Tang et al		
	17	WO01037820	05-31-2001	Shenoy et al		
	18	WO01064681	07-09-2001	Tang et al		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS